

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06998-074001

Application No. 09/845,769

**Information Disclosure Statement** by Applicant

Daniell Stevens et al.

(Use several sheets if necessary)

Filing Date

Applicant

Group Art Unit

(37 CFR §1.98(b))

May 2, 2001

2641

|          |              |                  | U.S. Pate  | ent Documents       |       |          |                            |
|----------|--------------|------------------|------------|---------------------|-------|----------|----------------------------|
| Examiner | Desig.<br>ID | Patent<br>Number | Issue Date | Patentee            | Class | Subclass | Filing Date If Appropriate |
| Day      | AA           | 5,594,809        | 01/14/1997 | Kopec et al.        |       |          |                            |
| DANE     | AB           | 5,625,748        | 04/29/1997 | McDonough et al.    |       |          |                            |
| DANE     | AC           | 5,754,978        | 05/19/1998 | Perez-Mendez et al. |       |          |                            |
| MADEN    | AD           | 5,794,189        | 08/11/1998 | Gould               |       |          |                            |
|          | AE           |                  |            |                     |       |          |                            |
|          | AF           |                  |            |                     |       |          |                            |
|          | AG           |                  |            |                     |       |          |                            |
|          | AH           |                  |            |                     |       |          |                            |
|          | AI           |                  |            |                     |       |          |                            |
|          | AJ           |                  |            |                     |       |          |                            |
|          | AK           |                  |            |                     |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |        |          |             |               |       |          |     |             |  |
|---|--------|----------|-------------|---------------|-------|----------|-----|-------------|--|
| Examiner  | Desig. | Document | Publication | Country or    |       |          |     | Translation |  |
| Initial   | ID D   | Number   | Date        | Patent Office | Class | Subclass | Yes | No          |  |
|   | AL     |          |             |               |       |          |     |             |  |
|   | AM     |          |             |               |       |          |     |             |  |
|   | AN     |          |             |               |       |          |     |             |  |
|   | AO     | ·        |             |               |       |          |     |             |  |
|   | AP     |          |             |               |       |          |     |             |  |

| Other Documents (include Author, Title, Date, and Place of Publication) |              |          |  |  |  |  |
|---|--------------|----------|--|--|--|--|
| Examiner<br>Initial   | Desig.<br>ID | Document |  |  |  |  |
|   | AQ           |          |  |  |  |  |
| _   | AR           |          |  |  |  |  |
|   | AS           | •        |  |  |  |  |
|   | AT           |          |  |  |  |  |

| Examiner Signature 1   | Date Considered 9 Nov 03  |
|--|---|
| EXAMINER: Initials citation considered. Draw line through citation if no | t in conformance and not considered. Include copy of this form with |
| next communication to applicant.   |   |



U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 13865-074001

Application No. 09/845,769

Form PTO-1449

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Applicant Daniell Stevens et al.

Filing Date May 2, 2001

**Group Art Unit** 2641

| U.S. Patent Documents |              |                  |            |                 |       |           |                            |
|-----------------------|--------------|------------------|------------|-----------------|-------|-----------|----------------------------|
| Examiner<br>Initial   | Desig.<br>ID | Patent<br>Number | Issue Date | Patentee        | Class | Subclass  | Filing Date If Appropriate |
| JAN                   | AA           | 5,799,279        | 08/25/1998 | Gould et al.    |       |           |                            |
| PAN                   | AB           | 6,212,498        | 04/03/2001 | Sherwood et al. |       |           |                            |
| DAN                   | AC           | 6,064,959        | 05/16/2000 | Young et al.    |       |           |                            |
|                       | AD           |                  |            |                 |       |           |                            |
|                       | AE           |                  |            |                 |       |           |                            |
|                       | AF           |                  |            |                 |       | REC       | EIVED                      |
|                       | AG           |                  |            |                 |       | ΔPR       | 2 4 2002                   |
|                       | AH           |                  |            |                 |       |           |                            |
|                       | ΑI           |                  |            |                 |       | lechnolog | y Center 2600              |
|                       | AJ           |                  |            |                 |       |           |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |        |                                       |             |               |       |          |             |    |
|---|--------|---------------------------------------|-------------|---------------|-------|----------|-------------|----|
| Examiner  | Desig. | Document                              | Publication | Country or    |       |          | Translation |    |
| Initial   | ID     | Number                                | Date        | Patent Office | Class | Subclass | Yes         | No |
|   | AK     |                                       |             |               |       |          |             |    |
|   | AL     |                                       |             |               |       |          |             |    |
|   | AM     |                                       |             |               |       |          |             |    |
|   | AN     |                                       |             |               |       |          |             |    |
|   | AO     | · · · · · · · · · · · · · · · · · · · |             |               |       |          |             |    |

|          | Other Documents (include Author, Title, Date, and Place of Publication) |  |  |  |  |  |
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| Examiner | Desig.  |  |  |  |  |  |
| Initial  | ID  | Document   |  |  |  |  |
| DAN      | AP  | Elizabeth D. Liddy and Sung H. Myaeng, A System Update for TREC-2, September 3, 1998, pp. 1-11; School of Information Studies, Syracuse University, Syracuse, New York                                 |  |  |  |  |
| IAN      | AQ  | John W. Lehman and Clifford A. Reid, et al., Knowledge-Based Searching with TOPIC, September 4, 1998, pp. 1-13, Verity, Inc., Mountain View, CA  |  |  |  |  |
| JAN)     | AR  | Richard Stern, George Doddington, Dave Pallet, and Charles Wayne, Specification for the ARPA November 1996 HUB 4 Evaluation, November 1, 1996, pp. 1-5 National Institutes of Standards and Technology |  |  |  |  |
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APR 2 5 2002

| Examiner Signature  | Date Considered  9.kgov '03                       | OFFICE OF PETITIONS                 |
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